

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Carr et al.

Application No.: 10/516,898

Group No.: 2176

Filed: August 15, 2005

Examiner: Ries, L.

For: Electronic Data Capture and Verification

**Mail Stop Amendment**  
**Commissioner for Patents**  
**P.O. Box 1450**  
**Alexandria, VA 22313-1450**

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

## List of Sections Forming Part of This Supplemental Information Disclosure Statement

The following sections are being submitted for this Supplemental Information Disclosure Statement:

*(check sections forming a part of this statement: discard unused sections and number pages consecutively)*

1. ☒ Preliminary Statements
2. ☒ Forms PTO/SB/08A and 08B (substitute for Form PTO-1449)
3. ☐ Statement as to Information Not Found in Patents or Publications
4. ☐ Identification of Prior Application in Which Listed Information Was Already Cited and for Which No Copies Are Submitted or Need Be Submitted
5. ☐ Cumulative Patents or Publications
6. ☒ Copies of Listed Information Items Accompanying This Statement
7. ☐ Concise Explanation of Non-English Language Listed Information Items
  - 7A. ☐ EPO Search Report
  - 7B. ☐ English Language Version of EPO Search Report
8. ☐ Translation(s) of Non-English Language Documents
9. ☒ Concise Explanation of English Language Listed Information Items (Optional)
10. ☒ Identification of Person(s) Making This Supplemental Information Disclosure Statement

*(complete the following, if appropriate)*

Sections \_\_\_\_\_, respectively, have been continued on ADDED PAGE(S).

*NOTE: "Once the minimum requirements are met, the examiner has an obligation to consider the information." Notice of April 20, 1992 (1138 O.G. 37-41, 37).*

## **Section 1. Preliminary statements**

Applicants submit herewith patents, publications or other information, of which they are aware that they believe may be material to the examination of this application, and in respect of which, there may be a duty to disclose.

The filing of this supplemental information disclosure statement shall not be construed as a representation that a search has been made (37 C.F.R. section 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists.

The filing of this supplemental information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

**SECTION 2. FORMS PTO/SB/08A and 08B (formerly Form PTO-1449)****IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: Carr et al. Attorney Docket: 2040/109  
Serial No: 10/516,898 Art Group Unit: 2176  
Date Filed: August 15, 2005 Examiner Name: Ries, L.  
Invention: **Electronic Data Capture and Verification**

**LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

<b>NON-PATENT DOCUMENTS</b>	
<b>Examiner Initials</b>	<b>Author, Title of Article, Title of Journal, Volume Number, Page Numbers, Date</b>
	Authorized Officer J. Woods, International Search Report for International Application No. PCT/GB03/02180 dated January 5, 2004
	Examiner Joe McCann, Search Report for UK Application No. GB0212934.4 dated December 3, 2002
	Examiner Iwan Thomas, Examination Report for UK Application No. GB0212934.4 dated March 22, 2005
	Eric Potter Clarkson LLP, response dated July 8, 2005 to the examination report of March 22, 2005 for UK Application No. GB0212934.4
	Examiner J. Woods, examination report for EP Application No. 03732650.1 dated June 13, 2005
	Raymond J. Charig, response dated December 20, 2005 to examination report of June 13, 2005 for EP Application No. 03732650.1
	Examiner J. Woods, invitation for EP Application No. 03732650.1 dated April 20, 2006
	Examiner J. Woods, further examination report for EP Application No. 03732650.1 dated May 12, 2006
	Raymond J. Charig, response dated November 8, 2006 to examination report of May 12, 2006 for EP Application No. 03732650.1
	Examiner J. Woods, summons to oral proceedings for EP Application No. 03732650.1 dated March 23, 2007
	Raymond J. Charig, response dated May 24, 2007 to summons of March 23, 2007 for EP Application No. 03732650.1
	Examiner J. Woods, brief communication for EP Application No. 03732650.1 dated June 18, 2007
	Raymond J. Charig, response dated June 20, 2007 to brief communication of June 18, 2007 for EP Application No. 03732650.1
	Formalities Officer Doreen Golze, decision to refuse EP Application No. 03732650.1 dated July 12, 2007
	Raymond J. Charig, grounds for appeal dated November 9, 2007 in response to decision of July 12, 2007 for EP Application No. 03732650.1

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH, /L.R./  
(Supplemental Information Disclosure Statement--page 3 of 7)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants:	Carr et al.	Attorney Docket:	2040/109
Serial No:	10/516,898	Art Group Unit:	2176
Date Filed:	August 15, 2005	Examiner Name:	Ries, L.
Invention:	<b>Electronic Data Capture and Verification</b>		

**LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Examiner Signature: /Laurie Ries/

Date Considered: 11/17/2008

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation *if not* in conformance and not considered. Include copy of this form with next communication to applicant.

## Section 6. Copies of Listed Information Items Accompanying This Statement

*NOTE: 37 C.F.R. section 1.98(a)(2) requires that any information disclosure statement filed under section 1.97 shall include: "A legible copy of: (i) Each U.S. and foreign patent; (ii) Each publication or that portion which caused it to be listed; and (iii) All other information or that portion which caused it to be listed, except that no copy of a U.S. patent application need be included . . ."*

*NOTE: The wording in section 1.98(a)(2)(iii) makes it clear that the requirement to submit a copy of each item of information listed in an information disclosure statement does not apply to the citation of a U.S. patent application. Notice of January 9, 1992, 1135 O.G. 13-25, at 14.*

Legible copies of all items listed in Forms PTO/SB/08A and 08B (substitute for Form PTO-1449) accompany this information statement.

☒ Exception(s) to above:

U.S. patent citations are not included pursuant to 37 CFR 1.98 9(a)(2)(i) and 37 CFR 1.491(b), in which the USPTO waived the requirement for submitting a copy of each cited U.S. patent and each U.S. patent application publication for all U.S. national patent applications filed after June 30, 2003 and for all international applications that have entered the national stage under 35 USC § 371 after June 30, 2003.

☐ Items in prior application, from which an earlier filing date is claimed for this application, as identified in Section 4.

☐ Cumulative patents or publications identified in Section 5.

## Section 9. Concise Explanation of English Language Listed Information Items

*NOTE: "Applicants may, if they wish, provide a concise explanation of why English-language information is being submitted and how it is understood to be relevant. Concise explanations are helpful to the Office, particularly where documents are lengthy and complex and applicant is aware of a section that is highly relevant to patentability or where a large number of documents are submitted and applicant is aware that one or more are highly relevant to patentability." Notice of April 20, 1992 (1138 O.G. 37-47, 38).*

The references disclosed in Section 2 above are copies of various prosecution documents from related PCT, UK, and EP patent applications, including an International Search Report from related PCT Application No. PCT/GB03/02180 (copies of the references cited therein have previously been disclosed), a UK search report from related UK Application No. GB0212934.4 (copies of the references cited therein have previously been disclosed), an examination report and corresponding response from related UK Application No. GB0212934.4, and various office actions and responses from related EP Application No. 03732650.1.

Submission of any particular reference is not an admission that the reference is material to patentability or qualifies as prior art to one or more of the claims.

**Section 10. Identification of Person(s) Making This Supplemental Information Disclosure Statement**

The person making this certification is

*(check each applicable item)*

- (a) ☐ the inventor(s) who signs below

\_\_\_\_\_  
**SIGNATURE OF INVENTOR**

\_\_\_\_\_  
*(type name of inventor who is signing)*

- (b) ☐ an individual associated with the filing and prosecution of this application (37 C.F.R. section 1.56(c))

\_\_\_\_\_  
**SIGNATURE OF INVENTOR**

\_\_\_\_\_  
*(type name of inventor who is signing)*

- (c) ☒ the practitioner who signs below on the basis of the information:

*(check each applicable item)*

☐ supplied by the inventor(s).

☒ supplied by an individual associated with the filing and prosecution of this application. (37 C.F.R. section 1.56(c)).

☐ in the practitioner's file.

/Jeffrey T. Klayman, #39,250/

\_\_\_\_\_  
**SIGNATURE OF PRACTITIONER**

Jeffrey T. Klayman

*(type or print name of practitioner)*

125 Summer Street, 11<sup>th</sup> Floor

P.O. Address

Boston, MA 02110

Reg. No.: 39,250

Tel. No.: (617) 443-9292

Customer No.: 002101

02040/00109 940087.1